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PATENT
00093

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit: 1713 :
Examiner: :
In re application of : CATALYTIC PROCESSES FOR THE
Matyjaszewski et al. : CONTROLLED POLYMERIZATION OF FREE
Serial No.: 09/534,827 : RADICALLY (Co)POLYMERIZABLE
Filed: March 23, 2000 : MONOMERS AND FUNCTIONAL POLYMERIC
SYSTEMS PREPARED THEREBY

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

February 10, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Commissioner:

Applicants, in accordance with the duty of disclosure pursuant to 37 C.F.R. § 1.56, hereby advise the United States Patent and Trademark Office of the references listed on the accompanying Form PTO/SB/08 *Information Disclosure Statement by Applicant* (substitute for Form 1449A/PTO). A copy of each of the cited references is herewith enclosed.

Express Mail Number ER524411698US

Date of Deposit February 10, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

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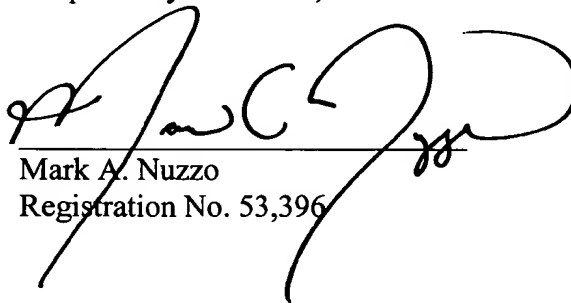


Applicants note that although the cited references may be relevant to the examination of the above-referenced application, under 37 C.F.R. § 1.97(h), the filing of this *Information Disclosure Statement by Applicant* "shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b)."

Applicants further note that the filing of this *Information Disclosure Statement by Applicant* is not an admission that the references cited herein constitute prior art under 35 U.S.C. §§ 102-103 with respect to the captioned application.

Pursuant to 37 C.F.R. § 1.97(b)(3), Applicants submit that no fee is necessary for consideration of this *Information Disclosure Statement by Applicant*. Nevertheless, the Commissioner is hereby authorized to charge any additionally required fees deemed necessary for consideration of this *Information Disclosure Statement by Applicant* to Account No. 11-1110.

Respectfully submitted,



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PTO/SB/08A (10-01)

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known			
		Application Number	09/534,827		
		Filing Date	March 23, 2000		
		First Named Inventor	Matyjaszewski		
		Art Unit	1713		
Examiner Name					
Sheet	1	of	4	Attorney Docket Number	00093

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		3,183,217	05/11/1965	Serniuk, et al.	
		3,959,225	05/25/1976	Kuntz	
		4,007,165	02/08/1977	MacLeay, et al.	
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		6,111,022	08/29/2000	Matyjaszewski, et al.	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		EP 0341012	11/08/1989			
		EP 0870809	10/14/1998			
		WO 00/56795	09/28/2000			
		WO 98/01480	01/15/1998			

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		6,124,411	09/26/2000	Matyjaszewski, et al.	
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		Filing Date	3/23/2000
		First Named Inventor	Matyjaszewski
		Art Unit	1713
		Examiner Name	
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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		United States Patent application No. 10/269,556 filed October 11, 2002. Attorney Docket No. 010686	
		United States Patent application No. 09/359,359 filed July 23, 1999. Attorney Docket No. 00169DIV3	
		United States Patent application No. 09/972,056 filed October 5, 2001. Attorney Docket No. 00819	
		United States Patent application No. 10/034,908 filed December 21, 2001. Attorney Docket No. 001153	
		United States Patent application No. 10/118,519 filed April 6, 2002. Attorney Docket No. 010314	
		United States Patent application No. 10/271,025 filed October 15, 2002. Attorney Docket No. 010687	
		United States Patent application No. 10/289,545 filed November 7, 2002. Attorney Docket No. 00163DIVCON	
		CARTER ET AL., "Polyimide Nanofoams From Phase-Separated Block Copolymers", Electrochemical Society Proceedings, 1997, pp. 32-43, Vol. 97, No. 8, Electrochemical Society, Pennington, NJ, US, .	
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		DOROTA GRESZTA ET AL., "Gradient Copolymers of Styrene and Acrylonitrile Via Atom Transfer Radical Polymerization", Polymer Preprints, April 1997, pages 709-710, Vol 38(1).	

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		Filing Date	3/23/2000
		First Named Inventor	Matyjaszewski
		Art Unit	1713
Examiner Name			
Sheet 4 of 4	Attorney Docket Number	00093	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		GROMADA, J.; MATYJASZEWSKI, K. Macromolecules 2001, 34, 7664-7671.	
		MATYJASZEWSKI, K.; Editor Controlled/Living Radical Polymerization. Progress in ATRP, NMP, and RAFT. In: ACS Symp. Ser., 2000; 768, 2000., Chapter 19 "Reverse Atom Transfer Radical Polymerization Using AIBN or BPO as Initiator" pp. 263-275	
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		SEONG MU JO ET AL, "Effects of Various Copper Salts and Additives on Polymerization of Acrylonitrile by Atom Transfer Radical Polymerization", Polymer Preprints, Vol. 38(1) April 1997, pages 699-700	
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		U. SCHUBERT ET AL., "Design of Effective Systems for Controlled Radical Polymerization of Styrene: Application of 4,4'-Dimethyl and 5,5'-Dimethyl 2,2'-Bipyridine Copper(ii) Complexes", Macromol. Rapid Commun., Vol. 20, No. 6, pp. 351-355, 1999.	
		WANG ET AL., "Living"/Controlled Radical Polymerization, Transition-Metal-Catalyzed Atom Transfer Radical Polymerization in the Presence of a Conventional Radical Initiator", Macromolecules, 1995, Vol. 28, pp. 7572-7573.	
		WANG, J.-S.; MATYJASZEWSKI, K., J. Am. Chem. Soc. 1995, 117, 5614-5615.	

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